

UNITED STATES DISTRICT COURT  
FOR THE SOUTHERN DISTRICT OF NEW YORK

**Plaintiff / Petitioner:**

Aaron Rubenstein

**Defendant / Respondent:**

Carlos Rodriguez, John R. Burch, Charles Keates, et al

**AFFIDAVIT OF SERVICE**

Civil Action No:

1:17-cv-00821-JPO

The undersigned being duly sworn, deposes and says; deponent is not a party herein, is over 18 years of age and resides at the state of Tennessee. That on Thu, May 03 2018 AT 09:27 AM AT 614 Westview Ave., Nashville, TN 37205 deponent served the within Summons in a Civil Action and Second Amended Complaint for recovery of short swing profits under 15 U.S.C. 78p(b) on John R. Burch

- ☐ **Individual:** by delivering a true copy of each to said defendant, personally; deponent knew the person so served to be the person described as said defendant therein.
- ☐ **Corporation:** \_\_\_\_\_ a defendant, therein named, by delivering a true copy of each to \_\_\_\_\_ personally, deponent knew said corporation so served to be the corporation described, and knew said individual to be \_\_\_\_\_ thereof.
- ☒ **Suitable Person:** by delivering thereat, a true copy of each to Linda Burch a person of suitable age and discretion at the defendant's actual place of residence.
- ☐ **Affixing to Door:** by affixing a true copy of each to the door thereof, deponent was unable with due diligence to find defendant, or a person of suitable age or discretion thereat, having called thereon; at \_\_\_\_\_
- ☐ **Mailing:** Deponent also enclosed a copy of same, in a postpaid sealed wrapper properly addressed to said defendant at defendant's last known residence/actual place of business, \_\_\_\_\_, and depositing said wrapper in a post office, official depository under the exclusive care and custody of the United States Postal Service. The envelope bore the legend "Personal and Confidential" and did not indicate on the outside thereof, by return address or otherwise, that the communication was from an attorney or concerned an action against the defendant. Mailed on \_\_\_\_\_.
- ☒ **Military Service:** *Defendant wore ordinary civilian clothes and no military uniform.* The source of my information and the ground of my belief are the conversations and observations above narrated. Upon information and belief I aver that the defendant is not in the military service of New York State or of the United States as that term is defined in either the State or in the Federal statutes.

**Description:**

Age: 65 Ethnicity: Caucasian Gender: Female Weight: 110  
Height: 5'4" Hair: Gray Eyes: \_\_\_\_\_ Relationship: \_\_\_\_\_  
Other \_\_\_\_\_

Ricky Cooper  
Ricky Cooper

Sworn to before me on May 9, 2019  
Carol Malone 5/6/19  
Notary Public *my commission expires*



UNITED STATES DISTRICT COURT  
FOR THE SOUTHERN DISTRICT OF NEW YORK

AARON RUBENSTEIN,

Plaintiff,

-v-

CARLOS RODRIGUEZ, JOHN R. BURCH,  
CHARLES KEATES, et al.

Defendants.

Civil Action No.  
1:17-cv-00821-JPO

AFFIDAVIT OF  
MAILING

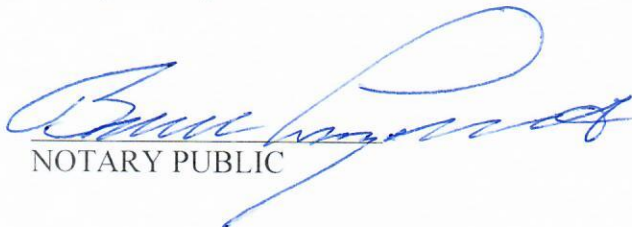
STATE OF NEW YORK                   }  
COUNTY OF NEW YORK               }ss.:

CAROLINA GARCIA, being duly sworn, deposes and says, I am not a party to this action, am over 18 years old and reside at New York, NY;

On May 9<sup>th</sup>, 2018, I mailed a copy of the within SUMMONS, SECOND AMENDED COMPLAINTS and EXHIBITS to JOHN R. BURCH, defendant therein named, at 614 Westview Avenue, Nashville, TN 37205 his actual known address by enclosing same in an envelope, postage prepaid and depositing it in an official depository under the exclusive custody and control of the United States Postal service. The envelope bore the legend "Personal and Confidential" and did not indicate on the outside thereof, by return address or otherwise, that the communication was from an attorney or concerned an action against the defendant.

  
CAROLINA GARCIA

Sworn to before me this  
9<sup>th</sup> day of May 2018.

  
NOTARY PUBLIC

BRUCE LAZARUS  
NOTARY PUBLIC-STATE OF NEW YORK

No. 01LA4990593  
Qualified in New York County  
My Commission Expires 01-13-